



UNIQUE SETTINGS
OF
NEW YORK

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PATRIOT ACT AML COMPLIANCE FORM

****PLEASE FULLY FILL OUT THE FORM AND MAIL BACK OR FAX TO : 718-425-9953***

LEGAL BUSINESS NAME : _____

TRADING NAME OR DBA : _____

OWNERS / PRINCIPALS : _____

COMPANY ADDRESS : _____

CITY : _____ STATE : _____ ZIP : _____

TEL : _____ FAX : _____

E-MAIL : _____ WEBSITE : _____

FED. TAX ID # : _____ RESALE # : _____

IS THIS COMPANY COMPLIANT WITH AML {ANTI-MONEY LAUNDERING} PURSUANT TO THE UNITED STATES PATRIOT ACT?

- Yes, We are in compliance with the PATRIOT Act as it concerns our industry (we have implemented an anti-money laundering program).
- No, We are not in compliance with the PATRIOT Act as it concerns our industry (we have not implemented an anti-money laundering program).
- Yes, We are exempt from the PATRIOT Act as far as it concerns our industry (we do not deal in more than \$50,000 worth of covered goods (jewels, precious metals, etc.) annually).

BY SIGNING BELOW I CERTIFY THAT ALL THE INFORMATION LISTED ABOVE IS TRUE AND THAT I WILL TAKE ALL STEPS NEEDED TO PROVE ITS VALIDITY IF NEEDED.

PRINT NAME : _____ PRINT TITLE : _____

SIGNATURE : _____ DATE : ____/____/____