



**Office/Factory**  
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### **PATRIOT ACT AML COMPLIANCE FORM**

***\*PLEASE FULLY FILL OUT THE FORM AND MAIL BACK OR FAX TO : 718-425-9953***

LEGAL BUSINESS NAME : \_\_\_\_\_

TRADING NAME OR DBA : \_\_\_\_\_

OWNERS / PRINCIPALS : \_\_\_\_\_

COMPANY ADDRESS : \_\_\_\_\_

CITY : \_\_\_\_\_ STATE : \_\_\_\_\_ ZIP : \_\_\_\_\_

TEL : \_\_\_\_\_ FAX : \_\_\_\_\_

E-MAIL : \_\_\_\_\_ WEBSITE : \_\_\_\_\_

FED. TAX ID # : \_\_\_\_\_ RESALE # : \_\_\_\_\_

**IS THIS COMPANY COMPLIANT WITH AML {ANTI-MONEY LAUNDERING} PURSUANT TO THE UNITED STATES PATRIOT ACT?**

- Yes, We are in compliance with the PATRIOT Act as it concerns our industry (we have implemented an anti-money laundering program).
- No, We are not in compliance with the PATRIOT Act as it concerns our industry (we have not implemented an anti-money laundering program).
- Yes, We are exempt from the PATRIOT Act as far as it concerns our industry (we do not deal in more than \$50,000 worth of covered goods (jewels, precious metals, etc.) annually).

**BY SIGNING BELOW I CERTIFY THAT ALL THE INFORMATION LISTED ABOVE IS TRUE AND THAT I WILL TAKE ALL STEPS NEEDED TO PROVE ITS VALIDITY IF NEEDED.**

PRINT NAME : \_\_\_\_\_ PRINT TITLE : \_\_\_\_\_

SIGNATURE : \_\_\_\_\_ DATE : \_\_\_\_/\_\_\_\_/\_\_\_\_